# Forest transition, forest rehabilitation and sustainable forest management

Linkages, complementarities and overlaps





 Transition to sustainable forest management and forest rehabilitation

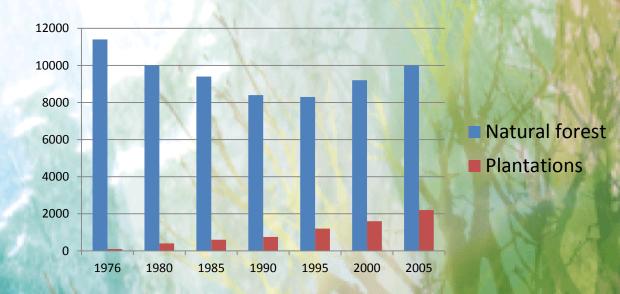
- Forest transition
- -Sustainable forest management
- -Forest rehabilitation





## Forest transition

 Reversal or turnaround in land-use trends for a given territory from a period of net forest area loss to a period of net forest area gain







- Forest transition pathways
  - Economic development
    - Scotland, France, Denmark, Holland
  - Forestry scarcity
    - Puerto Rico, China, Vietnam
  - State forest policy
    - Butan
  - Globalization
    - Costa Rica, Salvador
  - Smallholder tree land use intensification
    - Java, Guinea
- From (Lambin & Meyfroidt 2010)



- Sustainable forest management
  - Potential to fulfill relevant ecological, economic and social functions, now and in the future
  - Maintain biodiversity, productivity, regeneration capacity
  - At local, national, and global levels and without causing damage to other ecosystems





- SFM can be linked to multiple scales
  - Stand
  - Landscape
  - National
  - Global





### Factors that influence Sustainable Forest Management

- A. Policies, institutions and governance
  - 1. Land tenure and rights to forests and trees
  - 2. Public administration
  - 3. Participation and stakeholder cooperation
  - 4. Power and representation
  - 5. Enforcement of laws and regulations
  - 6. Reconciliation of different land uses
  - Long-term societal commitment to SFM
  - 8. Influences of regional/global processes
- B. Livelihoods, capacities, cultural and socioeconomic aspects
  - Contribution to livelihoods
  - 10. Commercial opportunities, linkages to markets
  - 11. Technical, managerial, leadership
  - 12. Access to capital
  - 13. Security and conflict
  - 14. The role of industrial forestry
  - 15. Landscape or ecosystem Management
- Natural resource base (biophysical conditions)
  - 16. Extent and condition of forest resources
  - 17. Trees outside forests, including agroforestry
- D. Research and monitoring
  - 18. Research programs
  - 19. Monitoring programs





- Factors that influence Sustainable Forest
   Management
  - Policies, institutions and governance
  - Livelihoods, capacities, cultural and socioeconomic aspects
  - Natural resource base (biophysical conditions)
  - Research and monitoring





## Forest rehabilitation

- Establish trees on formerly forested land
- To enhance productivity, livelihoods, or environmental services
- Through deliberate technical, socioeconomic, institutional interventions (Chokkalingam 2005)
- Forest restoration
  - Accelerate recovery of forest structure, ecological functioning and biodiversity levels
  - Through re-instating ecological processes
  - Towards climax forest (Elliot 2013)





### Factors that influence forest rehabilitation

### A. Policies and legislation

- Drivers behind policies
- 2. Credit facilities, payments for planting, payment for environmental services
- 3. Incentives and disincentives for degradation and rehabilitation
- 4. Sustainability of policies and political support
- 5. Tenure and interest in the outcomes of rehabilitation
- 6. Effectiveness and limitation of land zoning

### B. Players, actors and arrangements

- 7. Organization, capacity, competition aspects
- 8. Social cohesion and conflicts
- 9. Adoption of forest rehabilitation by relevant players
- Institutional arrangements and how they are influenced by conditions and objectives
- 11. Sustainability of arrangements
- 12. Intra-project communication; documentation of projects; communication of results

### C. Funding

- 13. Amounts of funds invested
- Main sources of funding. Effects of different types of funding on nature, outcomes, and cost effectiveness
- 15. Link between funding, funding types and continuity of forest rehabilitation

### D. Objectives of rehabilitation

- 16. Link between objectives and causes of degradation
- 17. Process of determining objectives
- 18. Compatibility and competition between objectives
- 19. Communication to relevant players
- 20. Flexibility or inflexibility of objectives

### E. Economics, markets, demands

- 21. Dynamics of markets, evolving wood industries
- 22. Use of marketing strategies in forest rehabilitation efforts

### F. Technology

- 23. Availability and dissemination of available technologies
- 24. Appropriateness of technologies for the causes of degradation, objectives, site conditions, local arrangements, local needs and markets
- 25. Factors that define choice of technologies
- 26. Conditions that influence adoption

### G. Extension, technical assistance and training

27. The contribution of extension and training on forest rehabilitation outcomes





- Factors that influence forest rehabilitation
  - Policies and legislation
  - Players, actors and arrangements
  - Funding
  - Objectives of rehabilitation
  - Economics, markets, demands
  - Technology
  - Extension, technical assistance and training





# Sustainable forest management forest transition matrix

	Pre transition	Post transition
Unsustainable forestry	- Deforestation through land conversion and logging - Forest degradation	<ul> <li>Forest area expands</li> <li>Forest degradation</li> <li>Natural forests being replaced by degraded forest and plantations</li> </ul>
Sustainable forestry	<ul><li>Sustainable supply of goods and services</li><li>Forest conversion continues</li></ul>	<ul><li>Sustainable supply of goods and services</li><li>Forest area continues to expand.</li></ul>





# Sustainable forest management - forest rehabilitation – forest transition matrix

	Sub-11   10   10   10   10   10   10   10	
	Pre transition	Post transition
No SFM	Deforestation and	
No FR	degradation, forest cover	
	decline	
	Deforestation or degradation	Forest area expands
No SFM	through land conversion and	Conversion and degradation
Yes FR	logging	of natural forest
	Rehabilitation either not	Natural forests being
	successful or does not keep up	replaced by forest
	with deforestation and	plantations
	degradation	
Yes SFM		Natural forest estate
Yes FR		preserved or expanding
		Plantation forest expanding





# Forest transition = F (SFM, Rehabilitation)

### Forest transition pathways

- Fconomic Development
- Forestry scarcity
- **Policies**
- Globalization
- Smallholder land use intensification

### Factors that influence Sustainable Forest Management

- A. Policies, institutions and governance
  - Land tenure and rights to forests and trees.
  - Public administration
  - Participation and stakeholder cooperation
  - Power and representation
  - Enforcement of laws and regulations
  - Reconciliation of different land uses.
  - Long-term societal commitment to SFM
  - 8. Influences of regional/global processes.
- B. Livelihoods, capacities, cultural and socioeconomic aspects
  - Contribution to livelihoods
  - 10. Commercial opportunities, linkages to markets
  - 11. Technical, managerial, leadership.
  - 12. Access to capital
  - 13. Security and conflict
  - 14. The role of industrial forestry
  - 15. Landscape or ecosystem Management
- C. Natural resource base (biophysical conditions)
  - - 16. Extent and condition of forest resources
    - 17. Trees outside forests, including agroforestry
- D. Research and monitoring
  - Research programs.
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### Factors that influence forest rehabilitation

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FOREST TRANSITION	SFM	REHABILITATION
State forest policy	Policies, institutions and governance	Policies and legislation
Economic development Forestry scarcity		Economics, markets, demands
Globalization		Funding
Smallholder tree land use intensification	Livelihoods, cultural and socioeconomic aspects	Players, actors and arrangements
	Research and monitoring Capacities,	Extension, technical assistance and training Technology
	Natural resource base	
		Objectives of rehabilitation





- Questions that we hope to answer in this meeting
  - Forest and tree cover dynamics in Asian countries?
  - Key factors and their underlying causes that explain declining deforestation, expansion of the forest estate, progress to SFM, improved policies and governance?
  - Implications for forest policies, poverty alleviation, climate mitigation and green economy?





